

# Does Divacancy Defect Combine with N,S-Codoping Enhance the Electronic Properties of Graphene to Its Interaction with K<sup>+</sup> Ion?

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**ABSTRACT.** Defects in graphene alter its structure, electrical characteristics, and interaction with  $K^+$  ions. The related characteristics of divacancy defect graphene and N, S codoped divacancy graphene were effectively explored using the DFTB technique. Divacancy is essential for the band gap opening. The dopants considerably enhance the density of state (DOS) intensity and alter the graphene-character bands. The depletion of density caused by the dopant is seen on the charge density isosurface. Because the charge of the K<sup>+</sup> ion is balanced by the dopant, the ion prefers to be adsorbed on divacancy graphene with dopants.

Keywords: divacancy graphene, DFTB, fermi level, K<sup>+</sup> adsorption, N,S-codoping

#### INTRODUCTION

The electrical potential of the graphene anode in a potassium ion battery may be tuned to maximize the interaction with the K<sup>+</sup> ions (Hidayat et al., 2022). Nitrogen-doped graphene has unique properties compared to pristine graphene. The charge density of carbon's surface is affected by the dopant and acts as an active site as a catalyst. Furthermore, the dopant responsible for the fermi level shifted above the Dirac point and pressed the DOS near Fermi to produce the gap between the conduction and valency bands (Joucken et al., 2015; Ketabi et al., 2016).

The mechanical, thermal, and electrical conductivities properties of a crystal are all affected by defects in the crystal. A vacancy defect in graphene was caused by the loss of one or more carbon atoms, resulting in deformation in the bond length around the defect point (Olsson et al., 2019). On the surface of graphene, vacancy defects influence electron transfer, lowering graphene's conductivity but enhancing ion diffusivity and chemical reactivity (Pašti et al., 2018). Metal adsorption in the vacancy of graphene is energetically more favorable than on pristine graphene (Olsson et al., 2019). Dopants such as Nitrogen (N), Sulphur (S), Boron (B), and Phosphorus (P) can be advanced the electronic properties of graphene (Li et al., 2016). The simultaneous employment of two distinct dopants leads to a combination effect such as potential parameter, current density, and increased electron transport (Rivera et al., 2017; Su et al., 2013; Z. Yang et al., 2013; Zhu et al., 2013). The combination of vacancy defect and doped graphene advantage enhances the performance of carbon-based anode materials.

DFTB (Density Functional Tight Binding) is a method based on a semiempirical approach that has roughly the same accuracy as the DFT method but the calculation time is shorter. The benefit of the DFTB technique is that it makes it feasible to compute the electronic structural characteristics of large molecule systems, which cannot be taken advantage of by the conventional ab initio method (Spiegelman et al., 2020). The DFTB approach has been effectively applied to examine redox reaction systems and anticipates features of molecular electronics related to the chemical activity of solids, supramolecules, and carbon (Poh et al., 2016; Selli et al., 2017; Sengupta et al., 2021; Zhang et al., 2020).

This work presents the DFTB approach for investigating the relationship of vacancy defect graphene with the presence of co-dopant N and S to its electronic behavior in terms of fermi level shifts, DOS changes, and energy band structures. Their impact on interactions with the K<sup>+</sup> ion was also studied. To clarify, four graphene structural models were used: divacancy graphene (V<sub>2</sub>G), N, S codoped divacancy graphene (NSV<sub>2</sub>G), K<sup>+</sup> ion on divacancy graphene (K-V<sub>2</sub>G), and K<sup>+</sup> ion on the N and S doped divacancy graphene (K-NSV<sub>2</sub>G).

#### EXPERIMENTAL SECTION

Pristine graphene based on the crystal characteristics of Kristin Person, 2014 (Persson, 2014) was modified to divacancy graphene ( $V_2G$ )

and N and S-doped divacancy graphene ( $NSV_2G$ ). The lengths of the lattice lines a, b, and c are 2.468, 2.468, and 8.685, respectively, whereas the lattice angle of  $\alpha = \beta$  is 90° and the angle of  $\gamma$  is 120°. The C atom's lattice coordinates are 0, 0, 3/4; 0, 0, 1/4; 1/3,  $\frac{2}{3}$ ,  $\frac{3}{4}$ ; and  $\frac{2}{3}$ ,  $\frac{1}{3}$ ,  $\frac{1}{4}$ . The crystal follows a hexagonal structure with a point group of 6/mmm. A new unit cell PG with the dimensions 4x4x1 is generated with those parameters Using VESTA (Visualization for Electronic and Structural Analysis)(Momma et al., 2008). The  $V_2G$  was created by removing two adjacent carbon atoms of pristine graphene structure. The NSV<sub>2</sub>G structure was created by substituting two C atoms with N and S atoms, in the VG structure. The N atom that replaces the C atom is located on the side of the hole to form a pyridinic structure. The K-V<sub>2</sub>G and K-NSV<sub>2</sub>G were modeled by putting the K<sup>+</sup> -ion in the center of the graphene's vacancy.

For calculation sampling, the unit cell was transformed into a supercell of 40 x 40 x1 and then optimized. The SCC (self-consistent charges)-DFTB approach was utilized for optimization, with the porbital defined as the maximal angular momentum for each atom. The Slater-Koster parameter 3ob-3-1 was used. The Hubbard Derivs was employed for each element. The fermi occupancy method was used to address the orbitals. Brillouin zone K-points were set up as  $\Gamma$  (0.0 0.0 0.0), M (0.5 0.0 0.0), and K (0.3333 0.333 0.0). The calculation was performed by the DFTB+ software(Hourahine et al., 2020)

The optimized-state calculation generated the density of state (DOS), fermi level, atomic charges, and band energy structure of each model. The DOS graphic and band structure were then tuned to Fermi level = 0, which was determined by  $E_o - E_f$ . The  $E_o$  is the Fermi level of 0 eV and  $E_f$  is the calculated-Fermi level. The energy formation of the defect graphene was calculated by equation 1

$$E_f = (E_{sys} - n_d E_d + n_c E_c - E_{pris})$$
(1)

The total energy of graphene with vacancy, doping, or both is referred to as the  $E_{sys}$ . The  $E_{pris}$  represented the whole energy of pristine graphene. The energy of doped and removed carbon atoms in graphene, respectively, was denoted as  $E_d$  and  $E_c$ . The numbers  $n_d$  and  $n_c$  stand for the dopants and the removed carbons, respectively. Using  $N_2$  and  $S_2$  as a reference, the  $E_d$  of N and S was estimated using the

DFTB technique. The atomic energy was found by dividing the total energy by 2. The  $E_c$  of the removed C atom was determined using a similar approach, by dividing the total energy of the ideal graphene primitive unit cell by the number of carbons.

Interaction ion  $K^+$  over the graphene surface in terms of the adsorption energy was calculated by the following equation 2.

$$E_{ads} = E_{complex} - (E_{graphene} + E_{ion}) \quad (2)$$

The Energy of complex structure is defined as the energy of defect graphene structure with  $K^+$  ion, that is K-V<sub>2</sub>G and K-NSV<sub>2</sub>G.  $E_{graphene}$  refers to the energy of defect graphene without ions, that is V<sub>2</sub>G and NSV<sub>2</sub>G. The last term,  $E_{ion}$  refers to the energy of the K<sup>+</sup> ion.

#### **RESULTS AND DISCUSSION**

The DFTB method has successfully optimized the geometry structures of the modeled graphene. All modeled graphene is depicted in Figure 1. Lattice vector α after optimization is 2.41 Å, 2.45 Å, 2. 49 Å and 2.48 Å for V<sub>2</sub>G, NSV<sub>2</sub>G, K-V<sub>2</sub>G, and K-NSV<sub>2</sub>G, respectively. This result is in good agreement with the experimental study, mostly analyzed using X-ray and neutron diffraction the lattice vector was reported as 2.43 Å and 2.5 Å for vacant defect and doped graphene, respectively (Krishna et al., 2017; Phillips et al., 2021; Sheka et al., 2015). The divacancy graphene loses the symmetry near the vacant site, and the two opposite C-C which were connected to the same C atoms before being removed has a shortened distance of 2.06 Å than in pristine graphene (~2.46 Å)(Yin et al., 2014). It is in accordance with the calculation results of Pulido et al. who found the shortest distance around the vacant site was 1.95 Å (Pulido et al., 2011). Substitution by replacing two atom C with N and S in the  $V_2G$  make the relaxation of the corresponding distance to be 2.56 Å for C-N and 2.27 Å for C-S (Figure 1b). The calculated C-S distance is 1.75 Å, which is consistent with the estimated computation of other DFT methods (Lu et al., 2017). In the meanwhile, the C-N bond distance is 1.37 Å. Adsorption of K<sup>+</sup> ion on the vacant site in both graphene is responsible for the increase of the corresponding distance, i.e. 2.15 Å for V<sub>2</sub>G and 2.66 Å (C-N) and 2.59 (C-S) for NSV<sub>2</sub>G (Figure 1c and 1d).

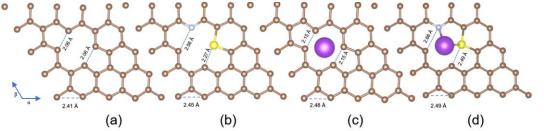


Figure 1. The optimized structure of (a)  $V_2G$  (b)  $NSV_2G$  (c)  $K-V_2G$  (d)  $K-NSV_2G$ . Brown refers to C, light blue refers to N, yellow refers to S and magenta refers to the  $K^+$  ion

All the C-C distances of the graphene were statistically transformed into the normal distribution graph. Figure 2 depicts the normal distribution of the C-C distance. The non-single bar in the graph confirms the variety of the C-C distance, indicating a symmetrical decreasing level of graphene by the vacant defect. The V<sub>2</sub>G has 6 bars, which means that 6 types of C-C distances are observed, ranging between 1.370 to 1.470 Å (Figure 2a). The probability to find 1.41 Å of C-C distance is higher than others (23%), meeting with the average corresponding distance in the pristine graphene (Pulido et al., 2011; Wang et al., 2017; W. Zhang et al., 2020). It reveals that near the vacant site, the graphene loses its symmetry, and far from it, the symmetry remains. Figure 2b shows the average C-C distance of 1.43 Å with a probability of 27.5% for NSV<sub>2</sub>G, indicating the average distance affected by the presence of N and S dopants. The adsorption of K<sup>+</sup> ion influences the C-C distance distribution; a new bar appears at 1.49 Å and the average distance turn to 1.40 Å. To accommodate the K<sup>+</sup> ion, the C-C distance around the vacancy is relaxed and the other is compressed (Figure 2c). The structural adjustment was also observed in NSV<sub>2</sub>G during the K<sup>+</sup> ion adsorption. According to Figure 1d, the average C-C distance of 1.43 has a probability of 31%, which is higher than before ion adsorption. This was quickly followed by the disappearance of the bars at 1.38, 1.4, and 1.46 Å. This phenomenon confirms that the C-C distance is adjusted to compromise ion absorption compensation.

The defect energy formation of several types of graphene is shown in Table 1. The defect formation energy of the monovacancy was reported at the range 7.4 - 7.9 eV, while the presence of N dopant in the corresponding monovacancy graphene reduce the energy at the range 4.9 - 5.9 eV. The energy formation of divacancy graphene has a little bit higher than the monovacancy defect at a range of 7.7 - 8.8 eV. The corresponding energy of 7.9 eV is obtained from the DFTB method. Here, the optimized geometry of the graphene by the DFTB method is in good agreement with the DFT results. Divacancy graphene with N and S dopants has lower energy formation, following the previous trend of the monovacancy and the N dopant case. Defect formation including vacancy formation has been studied using Extreme ultraviolet irradiation (EUV) and UV light-sensitive. The formation of the vacancy defect was observed below 20 eV, moreover, at 8.3 eV the vacant site was initiated (Dong et al., 2022; Gao et al., 2014). Here, the DFTB results are in accordance with the experiment data.

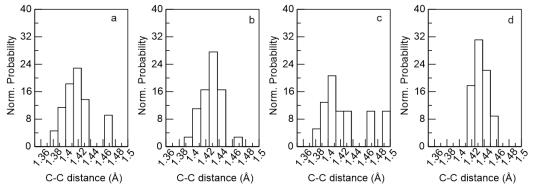


Figure 1. Normal probability of C-C distance distribution for (a) V2G (b) NSV2G (c) K-V2G (d) K-NSV2G

**Table 1**. The defect formation energy ( $E_i$ ) of graphene with defect and dopant.  $V_1$  = monovacancy,  $V_2$  = divacancy, N = Nitrogen dopant, S = Sulfur dopant

Defect	Methods	E <sub>f</sub> (eV)	Reff.
V <sub>1</sub>	DFT	7.4-7.9	(Dai et al., 2011; Faccio et al., 2012; Rodrigo et al.,
			2016)
	DFTB	7.6-8.4	(Hidayat et al., 2022; Rodrigo et al., 2016)
NV <sub>1</sub>	DFT	4.9-5.9	(Yang et al., 2015; Yu, 2013)
	DFTB	4.9	(Yu, 2013)
$V_2$	DFT	7.7-8.25	(Dai et al., 2011; Yu, 2013)
	Ab-initio	8.8	(El-Barbary et al., 2003)
Defect	EUV	Less than 20	(Gao et al., 2014)
formation		eV	
Defect	UV light-	8.3 eV	(Dong et al., 2022)
Formation	sensitive		
	DFTB	7.91	This work
NSV <sub>2</sub>	DFTB	7.10	This work

Electronic properties in terms of band structure and DOS of the graphene are depicted in **Figure 2**. The spectrum of both properties has been shifted to zero ( $E_0$ - $E_f$ ). The fermi level of the  $V_2G$ . As shown in Figure 2a, the opening band structure was observed for the V<sub>2</sub>G and no electron intensity rises right at the  $E_f = 0$  as shown by the DOS spectrum. However, width resonance occurred over the fermi level at 1.7 eV, indicating dispersionless of the  $\pi$ -character band. The scanning tunneling microscopy investigation by Miguel et al. observed the band opening for divacancy graphene. The DOS spectrum was also reported to have a V-shape with fluctuating intensity over the Fermi level (Ugeda et al., 2012) The gap between the conductance and valence band in  $NSV_2G$  is wider than in  $V_2G$ , but the presence of N and S dopants emerged a spectrum intensity between the band gap. It confirms by the DOS intensity at the fermi level (Figure 2b).

The bands represent the range of energy levels that electrons may have within them. Since the modeled graphene is 2D (planar), no direction to the z axes. At the K direction, the opening bands are observed along the Brillouin zone, which is contributed electronically by px and py. However, the DOS spectra come from the total DOS of s and p ( $p_{xr}$ ,  $p_{yr}$ , and  $p_z$ ) orbitals. The closed DOS spectra of the fermi region are affected by the  $p_z$ , where the electron remains with less affected by the vacant site (**Figures 2a** and **2b**). Performing DFT in a similar system, Coleman et al. showed clearly that partial DOS of the  $p_z$  is dominant at the fermi region with closed spectra, and no gap between valence and conductance band (Coleman et al., 2008).

As depicted in **Figure 2c**, the intensity in the conductance band structure of  $V_2G$  rises when the K<sup>+</sup> ion is adsorbed. The band gap at the Dirac point (K) remains the same. The DOS did not display any intensity at  $E_f = 0$ , but the spectrum does at Ef = 1.3 to 3.0. The K<sup>+</sup> ion charge affects the  $\pi$ -character bands of the  $V_2G$ . At the fermi region of K-NSV<sub>2</sub>G, the band intensity at the fermi level is unraveled (**Figure 2d**). It was confirmed by the DOS spectrum intensity at  $E_f = 0$  was lower than NSV<sub>2</sub>G. The charge of the K<sup>+</sup> ion is distributed in the vacant site of graphene and the dopants.

The isosurface of total charge density  $(e/a_0^3)$  of the  $2 \times 2 \times 1$  graphene surface is depicted in Figure 3. As shown in Figure 3a, the charge density of the C-C bond in V<sub>2</sub>G spread uniformly. These findings are in agreement with warner's paper (Warner et al., 2013). The depletion of the density is observed with the presence of the dopants in  $NSV_2G$  (Figure 3b). The N dopant dominantly withdraws the charge from the graphene surface. Adsorbing the K<sup>+</sup> ion, the graphene surface of the V<sub>2</sub>G redistributes the charge to the atom carbon to balance the ion charae (Figure 3c) and degrades the charge density of the C-C bond. The C-C color turns to greenish yellow and the C atom turn red. In contrast to NSV<sub>2</sub>G, the dopant balances the charge of the adsorbed K<sup>+</sup> ion, resulting in a thickening of the charge density of the C-C bond (Figure 3d).

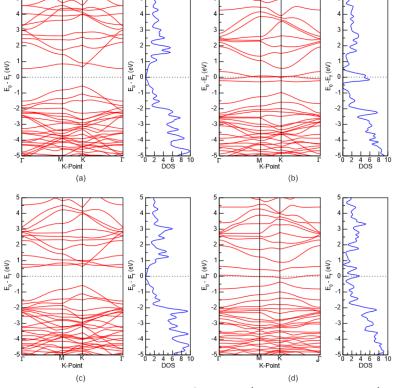


Figure 2. DOS and Electronic band structure of (a) V<sub>2</sub>G (b) NSV<sub>2</sub>G (c) K-V<sub>2</sub>G (d) K-NSV<sub>2</sub>G

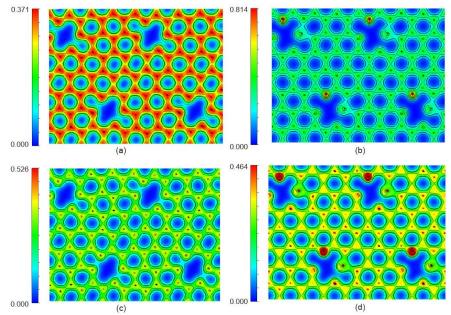


Figure 3. The total charge density of 2 x 2 x 1 graphene surface. (a) V<sub>2</sub>G (b) NSV<sub>2</sub>G (c) K-V<sub>2</sub>G (d) K-NSV<sub>2</sub>G.

Table 2. The partial charge and adsorption energy of  $K^+$  ion in  $V_2G$  and  $NSV_2G$  and

Туре	E <sub>ads</sub>	K Charge
K-V <sub>2</sub> G	-0.76 eV	0.83
K-NSV <sub>2</sub> G	-2.38 eV	0.72

The adsorption energy of the  $K^+$  ion on the  $V_2G$ and NSV<sub>2</sub>G surfaces is presented in Table 2. The adsorption energy on the V<sub>2</sub>G is -0.76 eV. It means that to separate the ion from graphene needs an energy of at least 0.76 eV. However, it is lower than on the monovacancy graphene (1,9 to 2.2 eV) (Hidayat et al., 2022; Pašti et al., 2018). The loss of two atoms C in the  $V_2G$  makes the  $K^+$  ion in the vacant site easier to be released. The adsorption energy rises with the presence of N and S dopant to 2.38 eV, near to the adsorption energy of K<sup>+</sup> ion on S<sub>2</sub>V<sub>2</sub>G (2.32 eV) which was reported by Shanmugam, et al(Shanmugam et al., 2020). Meanwhile, the charge of the  $K^+$  ion reduces to 0.83 and 0.72 on the  $V_2G$  and NSV<sub>2</sub>G, respectively. It confirms that there is more electron transfer to the ion on the NSV<sub>2</sub>G. The K<sup>+</sup> prefers interacts to the graphene with the dopant.

## CONCLUSIONS

The DFTB approach successfully investigated the structure and electronic properties of divacancy graphene (V<sub>2</sub>G) and the NS-doped divacancy graphene (NSV<sub>2</sub>G). The variety of the C-C distance distribution reveals the unsymmetrical structure of the divacancy of graphene and the NS-divacancy graphene. Divacancy defect causing the band gap opening and the presence of the NS-dopants increase the electronic activity in the fermi region. The depletion of the charge density of graphene is affected by the electronic withdrawal of the dopant. Adsorbed K<sup>+</sup> ion affects the intensity of the DOS, changing the  $\pi$ -character bands of the graphene.

The dopant and the adsorbed ion are responsible for the decrease of the charge density on the graphene surface. The  $K^+$  ion prefers to be adsorbed on the divacancy graphene with dopants.

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